

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/730,698	12/08/2003	Jason D. Hanzlik	10395US01

Imation Corp.  
 PO Box 64898  
 St. Paul, MN 55164-0898

CONFIRMATION NO. 3984

FORMALITIES LETTER



\*OC000000014685658\*

Date Mailed: 12/07/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

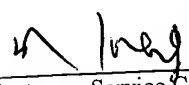
*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

  
 Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

(2)



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/730,698	12/08/2003	Jason D. Hanzlik	10395US01

Imation Corp.  
 PO Box 64898  
 St. Paul, MN 55164-0898

CONFIRMATION NO. 3984

## FORMALITIES LETTER



\*OC000000012056736\*

Date Mailed: 03/09/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

A handwritten signature, possibly "AP", is written above a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

FW



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of: )  
)  
JASON D. HANZLIK, JASON E. MOSES, )  
And G. PHILLIP RAMBOSEK )  
)  
Serial No.: 10/730,698 )  
)  
Filed: December 8, 2003 )  
)  
For: TAPE REEL ASSEMBLY WITH WEAR )  
RESISTANT DRIVEN TEETH )

Mail Stop: MISSING PARTS

Confirmation No. 3984

CERTIFICATE UNDER 37 CFR 1.8: I hereby certify that this correspondence is being transmitted with sufficient postage by First Class Mail to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 24 January, 2005.  
By: Eric D. Levinson  
Name: Eric D. Levinson

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

We are in receipt of the PTO's Notice to File Missing Parts mailed December 7, 2004 (copy enclosed). **Applicants receipt of the Notice to File Missing Parts is incorrect because there are, in fact, no missing parts.**

Applicants received a first Notice to File Missing Parts on March 9, 2004, response to which was mailed on May 5, 2004. Enclosed are copies of: (1) the **second** PTO Notice to File Missing Parts of Nonprovisional Application dated 12/07/2004; (2) the **first** PTO Notice to File Missing Parts of Nonprovisional Application dated 03/09/2004; (3) the Response to Notice to File Missing Parts of Application mailed to the PTO on May 5, 2004; (4) the Declaration, Power of Attorney, and Petition which **identified** the application by Application and Filing Date; and (5) the return postcard stamped by the USPTO confirming that the documents were received by the USPTO.

Since there were, in fact, no missing parts and the error was committed by the U.S. Patent Office, no fee is required. Please contact the below-signed attorney if additional discussion is needed.

Respectfully submitted,

Eric D. Levinson

Eric D. Levinson  
Registration No. 35,814

1/24/5  
Date

Imation Legal Affairs  
P.O. Box 64898  
St. Paul, Minnesota 55164-0898  
Telephone: (651) 704-3604  
Facsimile: (651) 704-5951



(5)



Application Of HANZLIK et al.,

File Number 10395US01

Serial No. 10/730,698

Titled **TAPE REEL ASSEMBLY WITH WEAR RESISTANT  
DRIVEN TEETH**

Application Of

File Number

Pages of Specification

No. of Claims

Sheets

Titled

☐ Oath ☒ Declaration ☐ Assign. ☐ Abstract ☒ Certif. of Mailing

Amount charged to Deposit Account \$130

☒ Duplicate Copies

Attorney (initials) EDL/sjd

Date 5/5/84

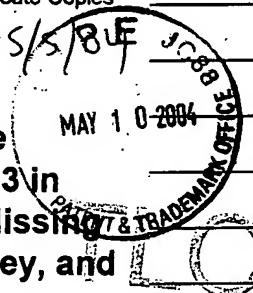
Pages Of Spec

Express Mail No.:

Sheets

Enclosures: **Response to Notice to File  
Missing Parts of Application (page 3 in  
duplicate); Copy of Notice to File Missing  
Parts; Declaration, Power of Attorney, and  
Petition**

Attorney (initial)



**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

**DOCKETED**

Docket No.

10/95US01

In Re Application Of: Jason D. Hanzlik, Jason E. Moses, and G. Phillip Rambosch

JAN 27 2005

Date

By

Serial No.  
10/730,698

Filing Date  
12/08/2003

Examiner

Group Art Unit  
3654

Invention:

**TAPE REEL ASSEMBLY WITH WEAR RESISTANT DRIVEN TEETH**

Mail Stop Missing Parts

TO THE COMMISSIONER FOR PATENTS:

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
03/09/2004  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ Other (list):

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
**10395US01**

In Re Application Of: **Jason D. Hanzlik, Jason E. Moses, and G. Phillip Rambosek**

Serial No.  
**10/730,698**

Filing Date  
**12/08/2003**

Examiner

Group Art Unit  
**3654**

Invention:

**TAPE REEL ASSEMBLY WITH WEAR RESISTANT DRIVEN TEETH**

**TO THE COMMISSIONER FOR PATENTS:**

**Mail Stop Missing Parts**

☒ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims = \_\_\_\_\_

☐ Total number of claims = \_\_\_\_\_

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees **\$130.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month    ☐ Two months    ☐ Three months    ☐ Four months    ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees \_\_\_\_\_

Total fees due **\$130.00**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
10395US01

In Re Application Of: Jason D. Hanzlik, Jason E. Moses, and G. Phillip Rambosek

Serial No.  
10/730,698

Filing Date  
12/08/2003

Examiner

Group Art Unit  
3654

Invention:

**TAPE REEL ASSEMBLY WITH WEAR RESISTANT DRIVEN TEETH**

TO THE COMMISSIONER FOR PATENTS:

Mail Stop Missing Parts

The fee of \$130.00 is to be paid as follows:

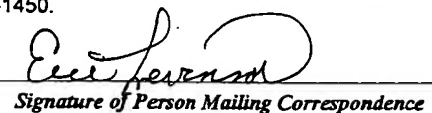
- ☐ A check in the amount of the fee is enclosed.
- ☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 09-0069
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.

  
Signature

Dated: 5/5/4

Eric D. Levinson, Reg. No. 35,814  
Imation Corp. Legal Affairs  
P.O. Box 64898  
St. Paul, MN 55164-0898  
Telephone: 651/704-3604  
Facsimile: 651/704-5951

I certify that this document and fee is being deposited on 5/5/4 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Signature of Person Mailing Correspondence

Eric D. Levinson

Typed or Printed Name of Person Mailing Correspondence

cc: